page 3

FORM EXEMPT UNDER 44 U.S.C 3512

INTERNET (2-01)

UNITED STATES OF AMERICA NATIONAL LABOR RELATIONS BOARD

DO NOT WRITE IN THIS SPACE				
Case	Date Filed			
18-CA-143208	December	19,	2014	

CHARGE AGAINST EMPLOYER INSTRUCTIONS: File an original with NLRB Regional Director for the region in which the alleged unfair labor practice occurred or is occurring. EMPLOYER AGAINST WHOM CHARGE IS BROUGHT Name of Employer 612-870-9706 (b) (6), (b) (7)(C) as joint employer or single employer with McDonald's USA, LLC c. Cell No. 1 Frox No e. Employer Representative Address (Street, city, state, and ZIP code) (b) (6), (b) (7)(C) 2400 Nicollet Avenue, Minneapolis. (b) (6), (b) (7)(C) g. e-Mail MN 55404 Gloria Santona McDonald's USA, LLC: One McDonald's Plaza, h. Number of workers employed Oak Brook, IL 60525 Approx. 55 L Type of Establishment (factory, mine, wholesaler, etc.) Identify principal product or service Restaurant Fast Food k. The above-named employer has engaged in and is engaging in unfair labor practices within the meaning of section 6(a), subsections (1) and (list subsections) (3) of the National Labor Relations Act, and these unfair labor practices are practices affecting commerce within the meaning of the Act, or these unfair labor practices are unfair practices affecting commerce within the meaning of the Act and the Postal Reorganization Act. 2. Basis of the Charge (set forth a clear end concise statement of the facts constituting the alleged unfair labor practices) On about (b) (6), (b) (7)(C) 2014, the Employer discriminated against an employee who engaged in union activity by issuing several written warnings in retaliation for engaging in protected activity. 3. Full name of party filing charge (if labor organization, give full name, including local name and number) Centro de Trabajadores Unidos en Lucha 4a. Address (Street and number, city, state, and ZIP code) 4b. Tel. No. (b) (6), (b) (7)(C 4c. Cell No. 4d. Fax No. 4e, e-Maii b) (6), (b) (7)(0 5. Full name of national or international labor organization of which it is an affiliate or constituent unit (to be filled in when charge is filed by a labor organization) Tel No. 6. DECLARATION 612-465-0108 I declare that I have read the above charge and that the statements are true to the best of my knowledge and belief. Office, if any, Cell No. Deborah Prokopf, Attorney (Part/type name and title or office, if any) Fax No. 612-465-0109

WILLFUL FALSE STATEMENTS ON THIS CHARGE CAN BE PUNISHED BY FINE AND IMPRISONMENT (U.S. CODE, TITLE 18, SECTION 1001) PRIVACY ACT STATEMENT

Solicitation of the information on this form is authorized by the National Labor Relations Act (NLRA), 29 U.S.C. § 151 et seq. The principal use of the information is to assist the National Labor Relations Board (NLRB) in processing unfair labor practice and related proceedings or litigation. The routine uses for the information are fully set forth in the Federal Register, 71 Fed. Reg. 74942-43 (Dec. 13, 2006). The NLRB will further explain these uses upon request. Disclosure of this information to the NLRB is voluntary; however, failure to supply the information will cause the NLRB to decline to invoke its processes.

Address

920 Second Ave. S., Suite 1245, Minneapolis, MN 55402

deborah@cummins-law.com

e-Mail

96%

12/19/2014

(date)

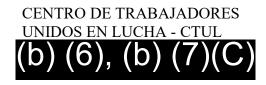


UNITED STATES GOVERNMENT NATIONAL LABOR RELATIONS BOARD



REGION 18 330 2nd Ave S Ste 790 Minneapolis, MN 55401-2214 Agency Website: www.nlrb.gov Telephone: (612)348-1757 Fax: (612)348-1785 Download NLRB Mobile App

December 19, 2014



Re: (b) (6), (b) (7)(C) AS JOINT EMPLOYER OR SINGLE EMPLOYER WITH MCDONALD'S USA, LLC Case 18-CA-143208

Dear Sir or Madam:

The charge that you filed in this case on December 19, 2014 has been docketed as case number 18-CA-143208. This letter tells you how to contact the Board agent who will be investigating the charge, explains your right to be represented, discusses presenting your evidence, and provides a brief explanation of our procedures, including how to submit documents to the NLRB.

<u>Investigator</u>: This charge is being investigated by Field Attorney CHINYERE C. OHAERI whose telephone number is (612)348-1766. If this Board agent is not available, you may contact Supervisory Attorney NICHOLE L. BURGESS-PEEL whose telephone number is (612)348-1775.

<u>Right to Representation</u>: You have the right to be represented by an attorney or other representative in any proceeding before us. If you choose to be represented, your representative must notify us in writing of this fact as soon as possible by completing *Form NLRB-4701*, *Notice of Appearance*. This form is available on our website, <u>www.nlrb.gov</u>, or at the Regional office upon your request.

If you are contacted by someone about representing you in this case, please be assured that no organization or person seeking your business has any "inside knowledge" or favored relationship with the National Labor Relations Board. Their knowledge regarding this proceeding was only obtained through access to information that must be made available to any member of the public under the Freedom of Information Act.

<u>Presentation of Your Evidence</u>: As the party who filed the charge in this case, it is your responsibility to meet with the Board agent to provide a sworn affidavit, or provide other witnesses to provide sworn affidavits, and to provide relevant documents within your possession. Because we seek to resolve labor disputes promptly, you should be ready to promptly present your affidavit(s) and other evidence. If you have not yet scheduled a date and time for the Board agent to take your affidavit, please contact the Board agent to schedule the affidavit(s). If you

(b) (6), (b) (7)(C) AS JOINT EMPLOYER - 2 - OR SINGLE EMPLOYER WITH MCDONALD'S USA, LLC Case 18-CA-143208

fail to cooperate in promptly presenting your evidence, your charge may be dismissed without investigation.

<u>Procedures:</u> We strongly urge everyone to submit all documents and other materials (except unfair labor practice charges and representation petitions) by E-Filing (not e-mailing) through our website www.nlrb.gov. However, the Agency will continue to accept timely filed paper documents. Please include the case name and number indicated above on all your correspondence regarding the charge.

Information about the Agency, the procedures we follow in unfair labor practice cases and our customer service standards is available on our website www.nlrb.gov or from the Regional Office upon your request. *NLRB Form 4541, Investigative Procedures* offers information that is helpful to parties involved in an investigation of an unfair labor practice charge.

We can provide assistance for persons with limited English proficiency or disability. Please let us know if you or any of your witnesses would like such assistance.

Very truly yours,

MARLIN O. OSTHUS Regional Director

cc: DEBORAH PROKOPF, ATTORNEY CUMMINS & CUMMINS, LLP 920 2ND AVENUE SOUTH SUITE 1245 MINNEAPOLIS, MN 55402



UNITED STATES GOVERNMENT NATIONAL LABOR RELATIONS BOARD



REGION 18 330 2nd Ave S Ste 790 Minneapolis, MN 55401-2214 Agency Website: www.nlrb.gov Telephone: (612)348-1757 Fax: (612)348-1785 Download NLRB Mobile App

December 19, 2014

MCDONALD'S CORPORATION ONE MCDONALD'S PLAZA OAK BROOK, IL 60523-1911

(b) (6), (b) (7)(C) MCDONALD'S 2400 NICOLLET AVE MINNEAPOLIS, MN 55404-3449

Re: (b) (6), (b) (7)(C) AS JOINT EMPLOYER OR SINGLE EMPLOYER WITH MCDONALD'S USA, LLC Case 18-CA-143208

Dear(b) (6), (b) (7)(C)

procedures, including how to submit documents to the NLRB.

Enclosed is a copy of a charge that has been filed in this case. This letter tells you how to contact the Board agent who will be investigating the charge, explains your right to be represented, discusses presenting your evidence, and provides a brief explanation of our

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<u>Right to Representation</u>: You have the right to be represented by an attorney or other representative in any proceeding before us. If you choose to be represented, your representative must notify us in writing of this fact as soon as possible by completing Form NLRB-4701, Notice of Appearance. This form is available on our website, www.nlrb.gov, or from an NLRB office upon your request.

If you are contacted by someone about representing you in this case, please be assured that no organization or person seeking your business has any "inside knowledge" or favored relationship with the National Labor Relations Board. Their knowledge regarding this proceeding was only obtained through access to information that must be made available to any member of the public under the Freedom of Information Act.

<u>Presentation of Your Evidence</u>: We seek prompt resolutions of labor disputes. Therefore, I urge you or your representative to submit a complete written account of the facts and a statement of your position with respect to the allegations set forth in the charge as soon as

(b) (6), (b) (7)(C) AS JOINT EMPLOYER - 2 - OR SINGLE EMPLOYER WITH MCDONALD'S USA, LLC Case 18-CA-143208

possible. If the Board agent later asks for more evidence, I strongly urge you or your representative to cooperate fully by promptly presenting all evidence relevant to the investigation. In this way, the case can be fully investigated more quickly.

Full and complete cooperation includes providing witnesses to give sworn affidavits to a Board agent, and providing all relevant documentary evidence requested by the Board agent. Sending us your written account of the facts and a statement of your position is not enough to be considered full and complete cooperation. A refusal to fully cooperate during the investigation might cause a case to be litigated unnecessarily.

In addition, either you or your representative must complete the enclosed Commerce Questionnaire to enable us to determine whether the NLRB has jurisdiction over this dispute. If you recently submitted this information in another case, or if you need assistance completing the form, please contact the Board agent.

We will not honor any request to place limitations on our use of position statements or evidence beyond those prescribed by the Freedom of Information Act and the Federal Records Act. Thus, we will not honor any claim of confidentiality except as provided by Exemption 4 of FOIA, 5 U.S.C. Sec. 552(b)(4), and any material you submit may be introduced as evidence at any hearing before an administrative law judge. We are also required by the Federal Records Act to keep copies of documents gathered in our investigation for some years after a case closes. Further, the Freedom of Information Act may require that we disclose such records in closed cases upon request, unless there is an applicable exemption. Examples of those exemptions are those that protect confidential financial information or personal privacy interests.

<u>Procedures:</u> We strongly urge everyone to submit all documents and other materials (except unfair labor practice charges and representation petitions) by E-Filing (not e-mailing) through our website, <u>www.nlrb.gov</u>. However, the Agency will continue to accept timely filed paper documents. Please include the case name and number indicated above on all your correspondence regarding the charge.

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We can provide assistance for persons with limited English proficiency or disability. Please let us know if you or any of your witnesses would like such assistance.

Very truly yours,

MARLIN O. OSTHUS Regional Director

Enclosures:

- 1. Copy of Charge
- 2. Commerce Questionnaire

cc: DOREEN S. DAVIS, ESQ.
JONES DAY
222 EAST 41ST STREET
NEW YORK, NY 10017-6702

MICHAEL S. FERRELL, ESQ. JONES DAY 77 WEST WACKER DRIVE SUITE 3500 CHICAGO, IL 60601

JOSHUA GROSSMAN, ESQ. JONES DAY 222 E 41ST STREET NEW YORK, NY 10017-6702

ANDREW MADSEN, ESQ., ATTORNEY JONES DAY 77 WEST WACKER DRIVE SUITE 3500 CHICAGO, IL 60601

QUESTIONNAIRE ON COMMERCE INFORMATION Please read carefully, answer all applicable items, and return to the NLRB Office. If additional space is required, please add a page and identify item number.	
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CASE NAME CASE NUMBER	
(b) (6), (b) (7)(C) AS JOINT EMPLOYER OR SINGLE EMPLOYER WITH MCDONALD'S USA, LLC 18-CA-143208	
1. EXACT LEGAL TITLE OF ENTITY (As filed with State and/or stated in legal documents forming entity)	
2. TYPE OF ENTITY	
[] CORPORATION [] LLC [] LLP [] PARTNERSHIP [] SOLE PROPRIETORSHIP [] OTHER (Specify)	
3. IF A CORPORATION or LLC	
A. STATE OF INCORPORATION OR FORMATION B. NAME, ADDRESS, AND RELATIONSHIP (e.g. parent, subsidiary) OF ALL RELATED ENTITIES	
4. IF AN LLC OR ANY TYPE OF PARTNERSHIP, FULL NAME AND ADDRESS OF ALL MEMBERS OR PARTNERS	
5. IF A SOLE PROPRIETORSHIP, FULL NAME AND ADDRESS OF PROPRIETOR	
6. BRIEFLY DESCRIBE THE NATURE OF YOUR OPERATIONS (Products handled or manufactured, or nature of services performed).	
7. A. PRINCIPAL LOCATION: B. BRANCH LOCATIONS:	
8. NUMBER OF PEOPLE PRESENTLY EMPLOYED	
A. Total: B. At the address involved in this matter:	
9. DURING THE MOST RECENT (Check appropriate box): [] CALENDAR YR [] 12 MONTHS or [] FISCAL YR (FY dates)
YES	NO
A. Did you provide services valued in excess of \$50,000 directly to customers outside your State? If no, indicate actual value.	
•	
B. If you answered no to 9A, did you provide services valued in excess of \$50,000 to customers in your State who purchased goods	
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valued in excess of \$50,000 from directly outside your State? If no, indicate the value of any such services you provided. \$ C. If you answered no to 9A and 9B, did you provide services valued in excess of \$50,000 to public utilities, transit systems, newspapers, health care institutions, broadcasting stations, commercial buildings, educational institutions, or retail concerns? If less than \$50,000, indicate amount. \$ D. Did you sell goods valued in excess of \$50,000 directly to customers located outside your State? If less than \$50,000, indicate amount. \$ E. If you answered no to 9D, did you sell goods valued in excess of \$50,000 directly to customers located inside your State who purchased other goods valued in excess of \$50,000 from directly outside your State? If less than \$50,000, indicate amount. \$ F. Did you purchase and receive goods valued in excess of \$50,000 from directly outside your State? If less than \$50,000, indicate amount. \$ G. Did you purchase and receive goods valued in excess of \$50,000 from enterprises who received the goods directly from points outside your State? If less than \$50,000, indicate amount. \$ H. Gross Revenues from all sales or performance of services (Check the largest amount) [

PRIVACY ACT STATEMENT

Solicitation of the information on this form is authorized by the National Labor Relations Act (NLRA), 29 U.S.C. § 151 et seq. The principal use of the information is to assist the National Labor Relations Board (NLRB) in processing representation and/or unfair labor practice proceedings and related proceedings or litigation. The routine uses for the information are fully set forth in the Federal Register, 71 Fed. Reg. 74942-43 (Dec. 13, 2006). The NLRB will further explain these uses upon request. Disclosure of this information to the NLRB is voluntary. However, failure to supply the information may cause the NLRB to refuse to process any further a representation or unfair labor practice case, or may cause the NLRB to issue you a subpoena and seek enforcement of the subpoena in federal court.

UNITED STATES OF AMERICA

BEFORE THE NATIONAL LABOR RELATIONS BOARD

(b) (6), (b) (7)(C) AS JOINT EMPLOYER OR SINGLE EMPLOYER WITH MCDONALD'S USA, LLC

Charged Party

and

CENTRO DE TRABAJADORES UNIDOS EN LUCHA - CTUL

Charging Party

Case 18-CA-143208

AFFIDAVIT OF SERVICE OF CHARGE AGAINST EMPLOYER

I, the undersigned employee of the National Labor Relations Board, state under oath that on December 19, 2014, I served the above-entitled document(s) by post-paid regular mail upon the following persons, addressed to them at the following addresses:

Doreen S. Davis, ESQ. Jones Day 222 East 41st Street New York, NY 10017-6702

Michael S. Ferrell, ESQ. Jones Day 77 West Wacker Drive Suite 3500 Chicago, IL 60601

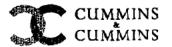
Joshua Grossman, ESQ. Jones Day 222 E 41st Street New York, NY 10017-6702

Andrew Madsen, ESQ., Attorney Jones Day 77 West Wacker Drive Suite 3500 Chicago, IL 60601 MCDONALD'S CORPORATION ONE MCDONALD'S PLAZA OAK BROOK, IL 60523 One McDonald's Plaza Oak Brook, IL 60523-1911

(b) (6), (b) (7)(C) MCDONALD'S

MCDONALD'S 2400 Nicollet Ave Minneapolis, MN 55404-3449

December 19, 2014	Shane Hose, Designated Agent of NLRB	
Date	Name	
	/s/ Shane Hose	
	75/ Shahe Hose	
	Signature	



December 19, 2014

VIA FACSIMILE AND U.S. MAIL

Mr. Marlin Osthus Regional Director National Labor Relations Board 330 Second Avenue South, Suite 790 Minneapolis, MN 55402

Re:

(b) (6), (b) (7)(C) and McDonald's USA, LLC

Our File No. 39,001

Dear Mr. Osthus:

Enclosed please find a charge against the above-referenced employers. Chinyere Ohaeri is currently investigating additional charges against these employers by the Centro de Trabajadores Unidos en Lucha.

Please contact me if you have any questions.

Sincerely,

CUMMINS & CUMMINS, LLP

Debrour

Deborah Prokopf

Enclosure

C:

(b) (6), (b) (7)(C) (via e-mail)

1245 International Centre † 920 Second Avenue South † Minneapolis, MN 55402 www.cummins-law.com † 612.465.0108 (c) † 612.465.0109 (f) † firm@cummins-law.com A Professional Limited Liability Partnership

(1 P)

NxGen Allegations Sheet – CA Cases

Seeking Initial Contract Seeking Succ	10(j) requested? Blocking R case? Case Blocked cational Campaign_ cessor Contract	YesNo_ 		
Use backpay paragraph? YesNo_X Assistance in	n Spanish required?	YesNo		
8(a)(1)				
Coercive Actions (Surveillance, etc.)				
Coercive Rules				
Coercive Statements (Threats, Promises of Benefits, etc.)				
Concerted Activities (Retaliation, Discharge, Discipline)				
Denial of Access Discharge of Supervisor (Parker-Robb Chevrolet)				
Interrogation (Including Polling)				
Lawsuits				
Weingarten				
8(a)(2)				
Assistance				
Domination				
Unlawful Recognition				
8(a)(3) Number of Discriminatees				
Changes in Terms and Conditions of Employment				
Discharge (Including Layoff and Refusal to Hire (not salting))				
X Discipline				
Lockout Refusal to Consider/Hire Applicant (salting only)				
Refusal to Hire Majority				
Refusal to Reinstate E'ee/Striker (e.g. Laidlaw)				
Retaliatory Lawsuit				
Shutdown or Relocate/Subcontract Unit Work				
Union Security Related Actions				
8(a)(4)				
Changes in Terms and Conditions of Employment				
Discharge (Including Layoff and Refusal to Hire				
Discipline				
Refusal to Reinstate Employee/Striker				
Shutdown or Relocate/Subcontract Unit Work				
8(a)(5)				
Alter Ego				
Failure to Sign Agreement				
Refusal to Bargain/Bad Faith Bargaining (including surface be	argaining/direct dealing	g)		
Refusal to Furnish Information				
Refusal to Recognize				
Repudiation/Modification of Contract (Sec 8(d)/Unilateral Cha				
Shutdown or Relocate (e.g. First National Maint.) Subcontract	ZE VVOLK			

NxGen Allegations Sheet - CB Cases

Case Name: Case Number: Agent: Impact Analysis Category Amended A = Add allegations R = Amend out Bargaining Status: None Seeking Initial Contract Use backpay paragraph? Yes No Assistance	ccessor Contract	Yes_ Yes_	No _ No		
8(b)(1)(A)					
Coercion, Including Statements and Violence Denial of Access Discipline (Including Charges/Fines)/Harassment Duty of Fair Representation, Including Superseniority, Deni Hiring Halls Picketing/Strike Actions Rules: Coercive	al of Access				
Union Dues and/or Membership Related (including excessi	ve fees).				
8(b)(1)(B)					
Fund Contribution Related Lawsuits Other Allegations Statements/Threats/Violence					
8(b)(2) Number of Discriminatees					
Hiring Hall Related Lawsuits Union Security Related Actions Causing Employer to Discriminate/Retaliate					
8(b)(3)					
Failure to Sign Agreement Refusal to Bargain/Bad Faith or Surface Bargaining Refusal to Furnish Information Repudiation/Modification of Contract					
8(b)(5)	·				
All Allegations					
8(b)(6)					
All Allegations					

From: Osthus, Marlin O. To: McILhon, Linda

Dunham, Geoffrey; Hadsall, Jennifer A.

Subject: FW: Oral withdrawal requests in cases 18-CA-142149 and 18-CA-143208

Date: Tuesday, January 6, 2015 12:57:25 PM

I approve the withdrawal requests in these two cases. The charges are not adjusted, and will likely be refilled.

Please send out a letter approving withdrawal request (one letter referencing both charges is fine).

Thanks,

Marlin

From: Burgess-Peel, Nichole L.

Sent: Tuesday, January 06, 2015 11:44 AM

To: Osthus, Marlin O. Cc: Ohaeri, Chinyere C.

Subject: Oral withdrawal requests in cases 18-CA-142149 and 18-CA-143208

The above two cases involve franchisees of McDonald's. (b) (5)

the wrong franchisee has been named in both charges (i.e. as both franchises were sold to another company within the last year. (b) (5)

I recommend that the withdrawal requests be approved.

-Nichole

Nichole Burgess-Peel, Supervisory Attorney NLRB Region 18 330 Second Avenue South, Suite 790 Minneapolis, MN 55401

Direct Line: 612.348.1775

e-mail: nichole.burgess-peel@nlrb.gov



Go Green! Do not print this email unless it's necessary!



UNITED STATES GOVERNMENT NATIONAL LABOR RELATIONS BOARD

REGION 18 330 2nd Ave S Ste 790 Minneapolis, MN 55401-2214 Agency Website: www.nlrb.gov Telephone: (612)348-1757 Fax: (612)348-1785

January 6, 2015

DOREEN S. DAVIS, Attorney at Law Jones Day 222 East 41st Street New York, NY 10017-6702

MICHAEL S. FERRELL, Attorney at Law Jones Day 77 West Wacker Drive, Suite 3500 Chicago, IL 60601

ANDREW MADSEN, ESQ., Attorney Jones Day 77 West Wacker Drive, Suite 3500 Chicago, IL 60601

JOSHUA GROSSMAN, ESQ. Jones Day 222 E 41st Street New York, NY 10017-6702

(b) (6), (b) (7)(C)

MCDONALD'S 2400 Nicollet Ave Minneapolis, MN 55404-3449

Re: (b) (6), (b) (7)(C) AS JOINT EMPLOYER OR SINGLE EMPLOYER WITH MCDONALD'S USA, LLC Case 18-CA-143208

Dear Davis, Mr. Ferrell, Mr. Madsen, Mr. Grossman, (b) (6), (b) (7)(C)

This is to advise you that I have approved the withdrawal of the charge in the above matter.

(b) (6), (b) (7)(C) AS JOINT EMPLOYER - 2 - OR SINGLE EMPLOYER WITH MCDONALD'S USA, LLC Case 18-CA-143208

Very truly yours,

/S/ Marlin O. Osthus

MARLIN O. OSTHUS Regional Director

cc: MCDONALD'S CORPORATION ONE MCDONALD'S PLAZA OAK BROOK, IL 60523 One McDonald's Plaza Oak Brook, IL 60523-1911

> MCDONALD'S CORPORATION ONE MCDONALD'S PLAZA OAKBROOK, IL 60523

DEBORAH PROKOPF, Attorney CUMMINS & CUMMINS, LLP 920 2nd Avenue South Suite 1245 Minneapolis, MN 55402

CENTRO DE TRABAJADORES UNIDOS EN LUCHA - CTUL 2511 E Franklin Ave Minneapolis, MN 55406-1028